# Exhibit 40a

10-03635-jpm Doc 487-42 Filed 03/22/20 Entered 03/22/20 18:56:02 Exhibit 40 Pg 2 of 17





Legal status

Identification number

## Commercial register of canton Zurich

Entry

Cancelled

Carried CH-020.3.927.218-6

from: CH-020.3.927.218-6/b

CH	E-10	)5.933.968 L	.imite	d or Corporation	09	9.08.1965		c	on:			
			Va	lid datas only	•				·			
In	Ca	Business name						Ref	Legal seat			
1		Falcon Private E	3ank	AG				1	Zürich			
1 (Falcon Private Bank Ltd.) (Falcon Private Bank SA)												
In	Ca	Share capital (Ch	HF)	Paid in (CHF)	Shares			In	Ca Company address			
3		120'000'00	0.00	120'000'000.00	30'000 Namenaktie	en zu CHF 4	'000.00	1	Pelikanstrasse 37			
								į.	8001 Zürich			
In	Ca	Purpose						In	Ca Other addresses			
43												
In	Ca	Remarks						Ref	Ref Date of the acts			
1		Mitteilungen an A	ktion	are erfolgen schriftlich	an die im Aktienbuc	h eingetrage	ene	1	10.10.1990			
		Adresse.						1	22.12.1994			
1		_		r Namenaktien ist nach oordstrasse 1, 8600 Dü		tuten besch	rankt.	1	26.11.1998			
1	4			apitalerhöhung vom 24		Forderung ir	n der	1	17.06.2005			
٥				000.00 verrechnet, wo				1	17.04.2009			
		ausgegeben werden.			3	24.11.2009						
66		Weitere Adresse: Postfach 1376, 8021 Zürich.			43	04.02.2014						
In	Ca	Qualified facts						Ref	Official publication			
1			durch	n entsprechende Verwe	endung der zur freie	n Verfügung	g der	1	SHAB			
		Generalversamm	lung s	stehenden Reserven lik	periert.				,			
1				e Fusion mit der Kaerp								
				ınd Passiven gemäss E Ueberseebank AG üb								
		15286/1984.			J-J		,		2			

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# Commercial register of canton Zurich

CHE-105.933.968 Falcon Private Bank AG Zürich

No.   Page   Journal   Date   SOGG   Date SOGG   Page / Ids   Vis   Ref   Journal   Date   SOGG   Date SOGG   Page / Ids   Vis   Page   Journal   Date   SOGG   Date SOGG   Page / Ids   Journal		_	atas only							-							
1   33888   31.08.2009	Vis	Ref	Journal	Date	SC	OGC	Date SOGC	_	age / Id	Vis	Ref	Journal	Date	-		Date SOGC	Page
2	-	0					,	ſ						В			100
3   47792   08.12.2009   242   14.12.2009   20.7 5890434   51   7674   26.02.2015   42   03.03.2015   2067637   2057665   2067637   2057665   2057637   2057665   2057637   2057665   2057637   2057665   20		1				171					49						
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S   8980   01.03.2010   45   05.03.2010   22 / 58266726   53   B   11420   27.03.2015   B   63   01.04.2015   2076665   66   11365   103.2010   116   13.05.2010   23 / 5556724   54   B   11774   31.03.2015   B   65   77.04.2015   200.05.2015   2160219   21.0210		3				242											
6		4				6		1									
7 21722 14.06.2010 116 18.06.2010 30 / 5682990 55 17206 15.05.2015 95 20.05.2015 2160216 8 23468 25.06.2010 115 01.07.2010 37 / 5703192 56 17782 20.05.2015 98 26.05.2015 2167765 10 41017 23.11.2010 232 29.11.2010 23/ 598000 59 31656 01.09.2015 173 07.09.2010 26 / 5081788 13.08.2015 180 18.08.2015 180 11.09.2015 11 112 1132 07.01.2011 91.01.2011 23 / 598000 59 32137 14.09.2015 180 18.08.2015 2363005 11 113 1920 30.05.2011 107 03.06.2011 6190856 60 34655 05.10.2015 180 08.10.2015 23414949 11 20989 09.06.2011 174 15.06.2011 6205234 62 N 43731 14.12.2015 N 245 01.12.2015 15 2414949 11 20989 09.06.2011 174 15.06.2011 6205234 62 N 43731 14.12.2015 N 245 01.12.2015 180 09.02.2016 63 4150 01.02.2016 24 0.02.2016 63 4150 01.02.2016 180 09.02.2017 180 09.02.2016 180 09.02.2017 180 09.0		5	8960	01.03.2010		45								ı			
8		6	11355	19.03.2010		59	25.03.2010	23 /	5558724		54			В			
S   31585   01.09.2010   173   07.09.2010   26 / 5801788   57   28674   13.08.2015   158   18.08.2015   2326761   10   41017   23.11.2010   232   29.11.2010   24 / 5914774   58   31557   08.09.2015   176   11.09.2015   2356305   23131   132   07.01.2011   9   13.01.2011   23 / 5984006   59   23137   14.09.2015   180   17.09.2015   2377601   24   28.02.2011   44   03.03.2011   6059836   60   34655   05.10.2015   195   08.10.2015   2414949   25.02.2016   39.005.2011   114   15.08.2011   6300246   63   4150   01.02.2016   24   04.02.2016   2389549   25.08.2011   249   22.12.2011   6473092   6645266   66   21219   16.06.2016   63.0440   22.08.2011   249   22.12.2011   6473092   6545266   66   21219   16.06.2016   63.0440   22.08.2012   28   09.02.2012   6545266   66   21219   16.06.2016   63.0440   22.08.2012   28   09.02.2012   6658265   67   21768   21.06.2016   188   20.02.2016   22.012		7	21722	14.06.2010		116					55				95		
10		8	23468	25.06.2010		125	01.07.2010	37 /	5703192		56	17782	20.05.2015		98	26.05.2015	
11   1132   07.01.2011   9   3.01.2011   23 / 5984006   59   32137   14.09.2015   180   17.09.2015   2377601   12   8184   28.02.2011   44   03.03.2011   6059836   60   34655   05.10.2015   232   30.11.2015   2508817   14   20989   09.06.2011   114   15.06.2011   6205234   62   N 43731   14.12.2015   N 245   17.12.2015   2545991   15   29571   15.08.2011   159   18.08.2011   6300246   63   4150   01.02.2016   24   04.02.2016   2639549   16   30404   22.08.2011   164   250.8017   3008614   4   5339   09.02.2016   24   04.02.2016   2639549   18   4156   06.02.2012   249   22.12.2011   6473092   65   8   14667   25.04.2016   8   22.04.2016   2204775   20   16587   18.05.2012   29   99.02.2012   6542986   66   21219   15.06.2016   18   18   18   18   18   18   18		9	31585	01.09.2010		173	07.09.2010	26 /	5801788		57	28674	13.08.2015	u.	158	18.08.2015	
12	1	10	41017	23.11.2010		232	29.11.2010	24 /	5914774		58	31557	08.09.2015		176	11.09.2015	
13		11	1132	07.01.2011		9	13.01.2011	23 /	5984006		59	32137	14.09.2015		180	17.09.2015	2377601
14   20989   09.06.2011   114   15.06.2011   6205234   62   N 43731   14.12.2015   N 245   17.12.2015   2545991     15   29571   15.08.2011   159   18.08.2011   6306814   64   63   63   63   63   63   63   6	- 1	12	8184	28.02.2011		44	03.03.2011		6059836		60	34655	05.10.2015		195	08.10.2015	2414949
15		13	19620	30.05.2011		107	03.06.2011		6190856		61	41135	25.11.2015		232	30.11.2015	2508617
16         30440         22.08.2011         164         25.08.2011         6308614         64         5339         09.02.2016         30         12.02.2016         2630807           17         45436         19.12.2011         249         22.12.2011         6473092         65         8 14667         25.04.2016         B 82         28.04.2016         28007575           18         4916         06.02.2012         8 09.02.2012         6542696         66         21219         16.06.2016         121         24.06.2016         2910479           20         16587         18.05.2012         99         23.05.2012         6689264         68         29998         26.08.2016         188         31.08.2016         3029073           21         17429         29.05.2012         105         01.06.2012         6700070         69         31824         13.09.2016         188         11.08.2016         3029073           23         39281         15.11.2012         226         20.11.2012         6938814         71         7131         21.02.2017         39         24.02.2017         3368311           24         39724         20.11.2012         29         31.1.2012         6944492         72         12661         60.0		14	20989	09.06.2011		114	15.06.2011		6205234		62	N 43731	14.12.2015	N	245	17.12.2015	2545991
17	1	15	29571	15.08.2011		159	18.08.2011		6300246		63	4150	01.02.2016		24	04.02.2016	2639549
17		16	30440	22.08.2011		164	25.08.2011		6308614		64	5339	09.02.2016		30	12.02.2016	2663087
18				19.12.2011		249	22.12.2011		6473092		65	B 14667	25.04.2016	В	82	28.04.2016	2804775
20		18				28	09.02.2012		6542696		66	21219	16.06.2016		118	21.06.2016	2901521
20		19		13.02.2012	В				6552852		67	21788	21.06.2016		121	24.06.2016	2910479
21         17429         29.05.2012         105         01.06.2012         6700670         69         31824         13.09.2016         180         16.09.2016         3058171           22         24132         17.07.2012         140         20.07.2012         6779032         70         43772         08.12.2016         242         13.12.2016         3218115           24         39724         20.11.2012         229         23.11.2012         6944492         72         12661         66.40.42017         71         11.04.2017         3461359           25         665         10.01.2013         9         15.01.2013         7015202         73         17438         17.05.2017         98         22.05.2017         3555025           26         B         1135         15.01.2013         16         24.01.2013         7022542         74         18784         30.05.2017         106         02.06.2017         3557861           27         1997         21.01.2013         52         15.03.2013         7031946         75         28505         11.02.2017         157         16.08.2017         3698963           39         17499         05.06.2013         109         10.06.2013         7220540         77		20		18.05.2012		99					68	29998	26.08.2016		168	31.08.2016	3029073
22         24132         17.07.2012         140         20.07.2012         6779032         70         43772         08.12.2016         242         13.12.2016         3218115           23         39281         15.11.2012         226         20.11.2012         6938314         71         7131         21.02.2017         39         24.02.2017         3368311           24         39724         20.11.2012         299         23.11.2012         6944492         72         12661         00.4.2017         71         11.04.2017         3461359           26         B. 135         15.01.2013         B. 12         18.01.2013         7022542         74         18784         30.05.2017         106         02.06.2017         3557861           27         1997         21.01.2013         16         24.01.2013         7031946         75         23126         30.06.2017         128         05.07.2017         3623229           28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           391388         19.08.2013         119         24.06.2013         933285         78         37671         30.10.								l			69	31824	13.09.2016		180	16.09.2016	3058171
23         39281         15.11.2012         226         20.11.2012         6938314         71         7131         21.02.2017         39         24.02.2017         3368311           24         39724         20.11.2012         229         23.11.2012         6944492         72         12661         06.04.2017         71         11.04.2017         3461359           25         665         10.01.2013         9         15.01.2013         7015202         73         17438         17.05.2017         98         22.05.2017         3535025           26         B 1135         15.01.2013         16         24.01.2013         7022542         74         18784         30.05.2017         128         05.07.2017         3623229           28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           29         17409         05.06.2013         109         10.06.2013         93285         78         37671         30.10.2017         177         13.09.2017         3749179           30         19138         19.06.2013         119         24.06.2013         939549         79         41113		1 1										43772	08.12.2016		242	13.12.2016	3218115
24         39724         20.11.2012         229         23.11.2012         6944492         72         12661         06.04.2017         71         11.04.2017         3461359           25         665         10.01.2013         9         15.01.2013         7015202         73         17438         17.05.2017         98         22.05.2017         3535025           26         B         1135         15.01.2013         B         12         18.01.2013         7022542         74         18784         30.05.2017         106         02.06.2017         3557861           27         1997         21.01.2013         16         24.01.2013         7031946         75         23126         30.06.2017         128         05.07.2017         3623229           28         16860         12.03.2013         109         10.06.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           30         19138         19.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         213         02.11.2017         3845833           31         B 19450         21.06.2013         B         121         26.02.013         97											71	7131	21.02.2017		39	24.02.2017	3368311
25         666         10.01.2013         9         15.01.2013         7015202         73         17438         17.05.2017         98         22.05.2017         3535025           26         B         1135         15.01.2013         B         12         18.01.2013         7022542         74         18784         30.05.2017         106         02.06.2017         3557861           27         1997         21.01.2013         16         24.01.2013         7031946         75         23126         30.06.2017         128         05.07.2017         3623229           28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           29         17409         05.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         717         13.09.2017         7349179           30         19138         19.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         213         02.11.2017         3845833           31         B         19450         21.06.2013         939549         79         41113											72		06.04.2017		71	11.04.2017	3461359
26         B         1135         15.01.2013         B         12         18.01.2013         7022542         74         18784         30.05.2017         106         02.06.2017         3557861           27         1997         21.01.2013         16         24.01.2013         7031946         75         23126         30.06.2017         128         05.07.2017         3623229           28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           29         17409         05.06.2013         109         10.06.2013         7220540         77         31455         08.09.2017         177         13.09.2017         3749179           30         19138         19.06.2013         119         24.06.2013         939549         79         41113.2017         232         29.11.2017         3898623           31         21966         11.07.2013         135         16.07.2013         979855         80         8 41659         29.11.2017         232         29.11.2017         3898623           32         28682         10.09.2013         177         13.09.2013         1075065         82						- 1		III.			1 1				98	22.05.2017	3535025
27         1997         21,01,2013         16         24,01,2013         7031946         75         23126         30.06.2017         128         05.07.2017         3623229           28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           29         17409         05.06.2013         109         10.06.2013         7220540         77         31455         08.09.2017         177         13.09.2017         3749179           30         19138         19.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         213         02.11.2017         3845833           31         B 19450         21.06.2013         135         16.07.2013         939549         79         41113         24.11.2017         232         29.11.2017         3896623           32         21966         11.07.2013         135         16.07.2013         1068601         81         42315         04.12.2017         232         29.11.2017         3906519           34         28682         10.09.2013         177         13.09.2013         1075065         82         44466 <td>-</td> <td></td> <td></td> <td></td> <td>В</td> <td></td> <td></td> <td>l .</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>106</td> <td>02.06.2017</td> <td>3557861</td>	-				В			l .							106	02.06.2017	3557861
28         8680         12.03.2013         52         15.03.2013         7106970         76         28505         11.08.2017         157         16.08.2017         3698963           29         17409         05.06.2013         109         10.06.2013         7220540         77         31455         08.09.2017         177         13.09.2017         3749179           30         19138         19.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         213         02.11.2017         3845833           31         B 19450         21.06.2013         B         121         26.06.2013         939549         79         41113         24.11.2017         232         29.11.2017         3898623           32         21966         11.07.2013         135         16.07.2013         979855         80         B         41659         29.11.2017         B         235         04.12.2017         3898623           32         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         195         04.10.2013								1									
29         17409         05.06.2013         109         10.06.2013         7220540         77         31455         08.09.2017         177         13.09.2017         3749179           30         19138         19.06.2013         119         24.06.2013         933285         78         37671         30.10.2017         213         02.11.2017         3845833           31         B 19450         21.06.2013         B 121         26.06.2013         939549         79         41113         24.11.2017         232         29.11.2017         3898623           32         21966         11.07.2013         135         16.07.2013         979855         80         B 41659         29.11.2017         B 235         04.12.2017         3906519           34         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         192         04.10.2013         1109807         83         116         03.01.2018         4         08.01.2018         3970893           36         31650         04.10.2013         195         09.10.2013         1117735         84         167						- 1		l								1	
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31         B 19450         21.06.2013         B 121         26.06.2013         939549         79         41113         24.11.2017         232         29.11.2017         3898623           32         21966         11.07.2013         135         16.07.2013         979855         80         B 41659         29.11.2017         B 235         04.12.2017         3906519           34         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         192         04.10.2013         1109807         83         116         03.01.2018         4         08.01.2018         3970893           36         31650         04.10.2013         195         09.10.2013         1117735         84         1671         11.01.2018         10         16.01.2018         3991771           37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         250         27.12.2013         1259529         87         16180<						- 1									213		
32         21966         11.07.2013         135         16.07.2013         979855         80         B 41659         29.11.2017         B         235         04.12.2017         3906519           33         28316         05.09.2013         174         10.09.2013         1068601         81         42315         04.12.2017         238         07.12.2017         3915795           34         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         192         04.10.2013         1109807         83         116         03.01.2018         4         08.01.2018         3970893           36         31650         04.10.2013         195         09.10.2013         1117735         84         1671         11.01.2018         10         16.01.2018         3991771           37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         250         27.12.2013         1259425         86			1		В	- 1						1			- 1	() Y	
33         28316         05.09.2013         174         10.09.2013         1068601         81         42315         04.12.2017         238         07.12.2017         3915795           34         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         192         04.10.2013         1109807         83         116         03.01.2018         4         08.01.2018         3970893           36         31650         04.10.2013         195         09.10.2013         1117735         84         1671         11.01.2018         10         16.01.2018         3991771           37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         242         13.12.2013         1234425         86         14480         19.04.2018         78         24.04.2018         4189723           39         41484         20.12.2013         250         27.12.2013         1259529         87         16180						- 1								В			
34         28682         10.09.2013         177         13.09.2013         1075065         82         44466         18.12.2017         248         21.12.2017         3947201           35         31098         01.10.2013         192         04.10.2013         1109807         83         116         03.01.2018         4         08.01.2018         3970893           36         31650         04.10.2013         195         09.10.2013         1117735         84         1671         11.01.2018         10         16.01.2018         3991771           37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         242         13.12.2013         1234425         86         14480         19.04.2018         78         24.04.2018         4189723           39         41484         20.12.2013         250         27.12.2013         1259529         87         16180         04.05.2018         89         09.05.2018         4219785           40         135         06.01.2014         5         09.01.2014         1273753         88         16724						- 1									238	07.12.2017	3915795
35 31098 01.10.2013 192 04.10.2013 1109807 83 116 03.01.2018 4 08.01.2018 3991771 37 33210 18.10.2013 205 23.10.2013 1140951 85 6219 12.02.2018 32 15.02.2018 4057721 38 39702 10.12.2013 242 13.12.2013 1234425 86 14480 19.04.2018 78 24.04.2018 4189723 41484 20.12.2013 250 27.12.2013 1259529 87 16180 04.05.2018 89 09.05.2018 4219785 40 135 06.01.2014 5 09.01.2014 1273753 88 16724 09.05.2018 92 15.05.2018 4228141 41 5152 10.02.2014 30 13.02.2014 1343509 89 19982 06.06.2018 110 11.06.2018 4280535 42 6780 21.02.2014 39 26.02.2014 1367239 90 36698 12.10.2018 201 17.10.2018 1004478100 43 7104 25.02.2014 41 28.02.2014 1371895 91 43410 03.12.2018 237 06.12.2018 1004575660 45 13502 16.04.2014 77 23.04.2014 1464733 93 16989 30.04.2019 85 03.05.2019 1004622258 46 19069 10.06.2014 112 13.06.2014 1551845 94 22139 07.06.2019 112 13.06.2019 1004649734		- 1															
36         31650         04.10.2013         195         09.10.2013         1117735         84         1671         11.01.2018         10         16.01.2018         3991771           37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         242         13.12.2013         1234425         86         14480         19.04.2018         78         24.04.2018         4189723           39         41484         20.12.2013         250         27.12.2013         1259529         87         16180         04.05.2018         89         09.05.2018         4219785           40         135         06.01.2014         5         09.01.2014         1273753         88         16724         09.05.2018         92         15.05.2018         4228141           41         5152         10.02.2014         30         13.02.2014         1367239         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         41         28.02.2014         1371895         91         43410		- 1															
37         33210         18.10.2013         205         23.10.2013         1140951         85         6219         12.02.2018         32         15.02.2018         4057721           38         39702         10.12.2013         242         13.12.2013         1234425         86         14480         19.04.2018         78         24.04.2018         4189723           39         41484         20.12.2013         250         27.12.2013         1259529         87         16180         04.05.2018         89         09.05.2018         4219785           40         135         06.01.2014         5         09.01.2014         1273753         88         16724         09.05.2018         92         15.05.2018         4228141           41         5152         10.02.2014         30         13.02.2014         1343509         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410	1																
38         39702         10.12.2013         242         13.12.2013         1234425         86         14480         19.04.2018         78         24.04.2018         4189723           39         41484         20.12.2013         250         27.12.2013         1259529         87         16180         04.05.2018         89         09.05.2018         4219785           40         135         06.01.2014         5         09.01.2014         1273753         88         16724         09.05.2018         92         15.05.2018         4228141           41         5152         10.02.2014         30         13.02.2014         1343509         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410         03.12.2018         237         06.12.2018         1004573660           45         13502         16.04.2014         77         23.04.2014         1464733         93         16989			- 4						*								
39         41484         20.12.2013         250         27.12.2013         1259529         87         16180         04.05.2018         89         09.05.2018         4219785           40         135         06.01.2014         5         09.01.2014         1273753         88         16724         09.05.2018         92         15.05.2018         4228141           41         5152         10.02.2014         30         13.02.2014         1343509         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410         03.12.2018         237         06.12.2018         1004513534           44         11490         01.04.2014         66         04.04.2014         1435367         92         8186         22.02.2019         40         27.02.2019         1004575660           45         13502         16.04.2014         77         23.04.2014         1464733         93         16989	1																
40         135         06.01.2014         5         09.01.2014         1273753         88         16724         09.05.2018         92         15.05.2018         4228141           41         5152         10.02.2014         30         13.02.2014         1343509         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410         03.12.2018         237         06.12.2018         1004513534           44         11490         01.04.2014         66         04.04.2014         1435367         92         8186         22.02.2019         40         27.02.2019         1004575660           45         13502         16.04.2014         77         23.04.2014         1464733         93         16989         30.04.2019         85         03.05.2019         1004622258           46         19069         10.06.2014         112         13.06.2014         1551845         94         22139 <td>1</td> <td></td>	1																
41         5152         10.02.2014         30         13.02.2014         1343509         89         19982         06.06.2018         110         11.06.2018         4280535           42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410         03.12.2018         237         06.12.2018         1004573534           44         11490         01.04.2014         66         04.04.2014         1435367         92         8186         22.02.2019         40         27.02.2019         1004575660           45         13502         16.04.2014         77         23.04.2014         1464733         93         16989         30.04.2019         85         03.05.2019         1004622258           46         19069         10.06.2014         112         13.06.2014         1551845         94         22139         07.06.2019         112         13.06.2019         1004649734						- 1									-		
42         6780         21.02.2014         39         26.02.2014         1367239         90         36698         12.10.2018         201         17.10.2018         1004478100           43         7104         25.02.2014         41         28.02.2014         1371895         91         43410         03.12.2018         237         06.12.2018         1004478100           44         11490         01.04.2014         66         04.04.2014         1435367         92         8186         22.02.2019         40         27.02.2019         1004575660           45         13502         16.04.2014         77         23.04.2014         1464733         93         16989         30.04.2019         85         03.05.2019         1004622258           46         19069         10.06.2014         112         13.06.2014         1551845         94         22139         07.06.2019         112         13.06.2019         1004649734			7/1														
43     7104     25.02.2014     41     28.02.2014     1371895     91     43410     03.12.2018     237     06.12.2018     1004513534       44     11490     01.04.2014     66     04.04.2014     1435367     92     8186     22.02.2019     40     27.02.2019     1004575660       45     13502     16.04.2014     77     23.04.2014     1464733     93     16989     30.04.2019     85     03.05.2019     1004622258       46     19069     10.06.2014     112     13.06.2014     1551845     94     22139     07.06.2019     112     13.06.2019     1004649734																,	
44     11490     01.04.2014     66     04.04.2014     1435367     92     8186     22.02.2019     40     27.02.2019     1004575660       45     13502     16.04.2014     77     23.04.2014     1464733     93     16989     30.04.2019     85     03.05.2019     1004622258       46     19069     10.06.2014     112     13.06.2014     1551845     94     22139     07.06.2019     112     13.06.2019     1004649734				No.		1								÷			
45   13502   16.04.2014   77   23.04.2014   1464733   93   16989   30.04.2019   85   03.05.2019   1004622258   94   22139   07.06.2019   112   13.06.2019   1004649734									7.5								
46 19069 10.06,2014 112 13.06.2014 1551845 94 22139 07.06.2019 112 13.06.2019 1004649734																	
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4/ 21234 26.06.2014 124 01.07.2014 1583839						- 3	2.				94	22139	07.06.2019		112	13.00.2019	1004048734
		47	21234	26.06.2014		124	01.07.2014		1583839	l				_			

J3.968 Falcon Private Bank AG Zürich 3

C	a Personal Data	Function	Signature
1	Grassi, Dr. Roberto, von Mendrisio, in Lugano	president of the board of directors	joint signature at two
	Latroche, Cyril, französischer Staatsangehöriger, in Abu Dhabi (AE)	vicepresident of the board of directors	joint signature at two
7	Bernegger, Marc Philipp, von Zürich, in Zollikon	member of the board of directors	joint signature at two
	Hurn, Matthew, britischer Staatsangehöriger, in Abu Dhabi (AE)	member of the board of directors	joint signature at two
	Schärer, Dominik, von Thunstetten, in Zofingen	member of the board of directors	joint signature at two
0	Keller, Martin, von Baden, in Oberwil-Lieli	president of the management	joint signature at two
	Clavel, Xavier, von Sion, in Zollikon	member of the management	joint signature at two
	Fiddes, Alastair, britischer Staatsangehöriger, in Freienbach	member of the management	joint signature at two
	Maccio, Matteo, von Rossinière, in Küsnacht (ZH)	member of the management	joint signature at two
	Meyer, Bruno, von Emmen, in Stäfa	member of the management	joint signature at two
	Müller, Andreas, von Unterkulm, in Zürich	member of the direction	joint signature at two
0	Aiello, Bettina, von Kallnach, in Bülach	member of the direction	joint signature at two limited to the main office
1	Ajvazi, Eldin, von Hombrechtikon, in Beringen	member of the direction	joint signature at two limited to the main office
	Ashapa, Rebecca, von Benken (ZH), in Zürich	member of the direction	joint signature at two limitted to the main office
0	Beraha-Levy, Lara, deutsche Staatsangehörige, in Zürich	member of the direction	joint signature at two limitted to the main office
	Bertschi, Andreas, von Dürrenäsch, in Zürich	member of the direction	joint signature at two limited to the main office
	Blum, Christian, von Thalwil, in Rüschlikon	member of the direction	joint signature at two limited to the main office
0	Bollhalder, Stefan, von Wädenswil, in Wädenswil	member of the direction	joint signature at two limited to the main office
9	Bühlmann, Carole, von Ruswil, in Cham	member of the direction	joint signature at two limitted to the main office
	Buschor, Patricia, von Altstätten, in Zollikon	member of the direction	joint signature at two limitted to the main office
	Cantieni, Gregor, von Andeer, in Freienbach	member of the direction	joint signature at two limited to the main office
	D'Alelio, Antonio, genannt Tony, von Weinfelden, in Zürich	member of the direction	joint signature at two limited to the main office
	D'Angelo, Marco, von Männedorf, in Männedorf	member of the direction	joint signature at two limited to the main office
7	Di Grezia, Fabio, von Schlieren, in Unterengstringen	member of the direction	joint signature at two limited to the main office
	Eckard, Bernard, von Winterthur, in Zürich	member of the direction	joint signature at two limited to the main office
	Egger, Daniel Philippe, von Aarwangen, in Thalwil	member of the	joint signature at two limited to

joint signature at two limited to

the main office

the main office

direction

member of the direction

Erismann, Frank, von Wettingen, in Stäfa

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CHE-105.933.968 Falcon Private Bank AG Zürich

	Mo	Ca	Personal Data	Function	Signature
	49		Fässler, Bernhard, von Ingenbohl, in Nottwil	member of the direction	joint signature at two limited to the main office
90			Fehr, Patrick, von Wagenhausen, in Gachnang	member of the direction	joint signature at two limited to the main office
70			Fehr, Urs, von Biel/Bienne, in Thalwil	member of the direction	joint signature at two limited to the main office
1			Frehner, Daniel, von Urnäsch, in Illnau-Effretikon	member of the direction	joint signature at two limited to the main office
1			Furrer, Gertrud, von Schongau, in Jonen	member of the direction	joint signature at two limitted to the main office
	90		Ganzer, Grit, deutsche Staatsangehörige, in Winkel	member of the direction	joint signature at two limitted to the main office
	30		Gigante, Dario, italienischer Staatsangehöriger, in Meilen	member of the direction	joint signature at two limited to the main office
84			Gilliard, Philippe, von Suscévaz, in Galgenen	member of the direction	joint signature at two limited to the main office
	90		Gywat, Oliver, von Riehen, in Zürich	member of the direction	joint signature at two limited to the main office
91	1		Heinz, Stefan, von Zürich, in Fischbach-Göslikon	member of the direction	joint signature at two limited to the main office
	87		Helbling, Michael, von Luzern, in Freienbach	member of the direction	joint signature at two limited to the main office
90			Hilfing, Tomas, von Meilen, in Meilen	member of the direction	joint signature at two limited to the main office
	86		Hirzel, Heinrich, von Zürich, in Bassersdorf	member of the direction	joint signature at two limited to the main office
87			Hunziker, Jean Jacques, von Kirchleerau, in Thalwil	member of the direction	joint signature at two limited to the main office
	90		Jereb, Taja, slowenische Staatsangehörige, in Zürich	member of the direction	joint signature at two limitted to the main office
	71		Luchsinger, Christian, von Herrliberg, in Zürich	member of the direction	joint signature at two limited to the main office
	51		Manente, Patrizia, von Dübendorf, in Dübendorf	member of the direction	joint signature at two limitted to the main office
1			Maritz, Peter, von Zürich, in Küsnacht ZH	member of the direction	joint signature at two limited to the main office
	64		Meier, Gérald, von Lengwil, in Montana	member of the direction	joint signature at two limited to the main office
	30		Molzahn, Rüdiger, deutscher Staatsangehöriger, in Boniswil	member of the direction	joint signature at two limited to the main office
	66		Montgomery, Magnus, britischer Staatsangehöriger, in Winkel	member of the direction	joint signature at two limited to the main office
	64		Moraru, Iurie, rumänischer Staatsangehöriger, in Freienbach	member of the direction	joint signature at two limited to the main office
	11		Müller, Franziska, von Walchwil, in Obfelden	member of the direction	joint signature at two limited to the main office
	51		Nicolay, Igor, von Bergün/Bravuogn, in Zürich	member of the direction	joint signature at two limited to the main office
	86		Özcan, Cihan, von Basel, in Basel	member of the direction	joint signature at two limited to the main office
1			Räss, Daniel, von Benken ZH und Geroldswil, in Oberlunkhofen	member of the direction	joint signature at two limited to the main office
	71		Reinstadler, Silvan, von Obersiggenthal, in Niederglatt	member of the direction	joint signature at two limited to the main office

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In	Mo	Ca	Personal Data	Function	Signature
70			Reist, Andreas, von Oberburg, in Adliswil	member of the direction	joint signature at two limited to the main office
	51		Reuthinger, Thomas, von Neunkirch, in Rümlang	member of the direction	joint signature at two limited to the main office
87			Richards, Katie, von Zürich, in Zürich	member of the direction	joint signature at two limitted to the main office
91			Rijntjes, Evert-Jan, niederländischer Staatsangehöriger, in Kilchberg (ZH)	member of the direction	joint signature at two limited to the main office
91			Ritzl, Marc, von Bergdietikon, in Volketswil	member of the direction	joint signature at two limited to the main office
84			Roy, Iryna, von Biel/Bienne, in Männedorf	member of the direction	joint signature at two limitted to the main office
1			Sato, Masayuki, japanischer Staatsangehöriger, in Meilen	member of the direction	joint signature at two limited to the main office
	90		Schleiffer, Philipp, von Luzern, in Stäfa	member of the direction	joint signature at two limited to the main office
1			Schmid, Kuno, von Nesslau-Krummenau, in Seuzach	member of the direction	joint signature at two limited to the main office
	86		Schmidlin, Boris Dominic, von Dittingen, in Zürich	member of the direction	joint signature at two limited to the main office
11			Schramböck, Patrick, österreichischer Staatsangehöriger, in Baar	member of the direction	joint signature at two limited to the main office
	18		Schwarz, Ursula, von Oberrieden und Binningen, in Oberrieden	member of the direction	joint signature at two limited to the main office
	18		Skyvell, Kristoffer, schwedischer Staatsangehöriger, in Küsnacht ZH	member of the direction	joint signature at two limited to the main office
74			Stuppacher, Michael, von Eggersriet, in Zürich	member of the direction	joint signature at two limited to the main office
50			Timpanaro, Gianmarco, italienischer Staatsangehöriger, in Gipf- Oberfrick	member of the direction	joint signature at two limited to the main office
70			Van Berkel, Jocelyn, niederländische Staatsangehörige, in Zürich	member of the direction	joint signature at two limitted to the main office
	86		Voci, Giuseppe, von St. Gallen, in Zürich	member of the direction	joint signature at two limited to the main office
91			Volland, Thomas, von Kilchberg (ZH), in Kilchberg (ZH)	member of the direction	joint signature at two limited to the main office
	86		Volz, Oliver, von Wangen-Brüttisellen, in Uster	member of the direction	joint signature at two limited to the main office
	54		Vonlanthen, Roger, von Schmitten FR, in Freienbach	member of the direction	joint signature at two limited to the main office
55			Zaitsev, Gueorgi, dänischer Staatsangehöriger, in Fällanden	member of the direction	joint signature at two limited to the main office
	64		Zalad, Konstantin, von Stein AG, in Schlieren	member of the direction	joint signature at two limited to the main office
	11		Zgraggen, Roy, von Gurtnellen, in Zollikon	member of the direction	joint signature at two limited to the main office
	18		Zimmermann, Beat, von Luzern, in Galgenen	member of the direction	joint signature at two limited to the main office
23			Zobl, Matthias, von Rüschlikon, in Rüschlikon	member of the direction	joint signature at two limited to the main office
66			PricewaterhouseCoopers AG (CHE-106.839.438), in Zürich	auditor	
39			Heyward II, George, amerikanischer Staatsangehöriger, in Zürich		joint signature at two limited to the main office
	89		Huggenberger, Eric, von Zürich, in Erlenbach (ZH)		joint signature at two limited to the main office



CHE-105.933.968 Falcon Private Bank AG Zürich

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In	Мо	Ca	Personal Data	Function	Signature					
6			Allenspach, Susanne, von Zürich, in Zürich		joint agent signature at two limitted to the main office					
71			Aouak, Khalil, von Illnau-Effretikon, in Illnau-Effretikon		joint agent signature at two limited to the main office					
74			Bartlett, Aline, von Beringen, in Langnau am Albis		joint agent signature at two limitted to the main office					
84			Baumann, Marc, von Hedingen, in Fällanden		joint agent signature at two limited to the main office					
86			Beglinger, Diego, von Sennwald, in Dübendorf		joint agent signature at two limited to the main office					
	18		Bertschy, Nadine, von Kloten, in Bülach		joint agent signature at two limited to the main office					
	92		Bigler, Melanie, von Oberthal, in Hüntwangen		joint agent signature at two limitted to the main office					
86			Borer, Michael, von Stäfa, in Adliswil		joint agent signature at two limited to the main office					
	49		De Zordi, Barbara, von Chur, in Zürich		joint agent signature at two limitted to the main office					
74			Dybing, Helén, schwedische Staatsangehörige, in Zürich		joint agent signature at two limitted to the main office					
87	İ		Eggli, Silvio, von Feuerthalen, in Jonen		joint agent signature at two limited to the main office					
92			Ferreira Neves, Catia Raquel, portugiesische Staatsangehörige, in Zürich		joint agent signature at two limitted to the main office					
86			Franzini, Peter, von Küssnacht (SZ), in Neuhausen am Rheinfall		joint agent signature at two limited to the main office					
	87		Frei, Jennifer, von Dübendorf, in Volketswil		joint agent signature at two limitted to the main office					
84			Hauser, Andreas, von Rifferswil, in Uster		joint agent signature at two limited to the main office					
74			Kienle, Werner, von Wilen TG, in Zollikon		joint agent signature at two limited to the main office					
51			Kipfer, Yayoi, japanische Staatsangehörige, in Meilen		joint agent signature at two limitted to the main office					
86			Lonido, Laura, von Regensdorf, in Regensdorf		joint agent signature at two limitted to the main office					
30			Martino, Luisa, von Schlieren, in Schlieren	54	joint agent signature at two limitted to the main office					
18			Monaco, Laura, von Uster, in Uster		joint agent signature at two limitted to the main office					
68			Nguy, Gérald, von Amden, in Illnau-Effretikon		joint agent signature at two limited to the main office					
86			Onya, Polina, russische Staatsangehörige, in Richterswil		joint agent signature at two limitted to the main office					
64			Osterwalder, Tiziana, von Stettfurt, in Schlieren		joint agent signature at two limitted to the main office					
64			Peter, Andrea, von Stäfa, in Maur		joint agent signature at two limitted to the main office					
71			Petronijevic, Valentina, von Triengen, in Steinhausen		joint agent signature at two limitted to the main office					
91			Pfeifer, Rolf, von Zürich, in Lindau		joint agent signature at two limited to the main office					
91			Picuccio, Giuseppe, italienischer Staatsangehöriger, in Horgen		joint agent signature at two limited to the main office					

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#### Valid datas only

T	n N	Ло	Ca	Personal Data	Function	Signature
4	2			Pucino, My, von Meilen, in Regensdorf		joint agent signature at two limitted to the main office
8	6			Rosenberg, Penelope, von Bern, in Zürich		joint agent signature at two limitted to the main office
6	4			Rossini, Luca, von Monte Carasso, in Weiningen ZH		joint agent signature at two limited to the main office
8	6			Stummer, Alex, österreichischer Staatsangehöriger, in Zürich		joint agent signature at two limited to the main office
9	1			Würmli, Benjamin, von Rothenthurm, in Urdorf		joint agent signature at two limited to the main office
6	6			Zumoberhaus, Olivier, von Obergoms, in Zürich		joint agent signature at two limited to the main office

Zürich, 10.10.2019



This extract from the cantonal Registry Office is not valid without the original authentication on the left. The extract contains all valid entries for the company in question. On special request it is also possible to provide an extract containing all entries. The Commercial Registry Office of the Canton of Zurich does not accept any liability for this extract from the Commercial Register not being accepted as sufficient proof of the facts stated therein at its place of destination.

# Exhibit 40b



# 10-03635-jpm Doc 487-42 Filed 03/22/20 Entered 03/22/20 18:56:02 Exhibit 40 Pg 10 of 17

## Commercial register of canton Zurich

Identification number	Legal status	Entry	Cancelled	Carried CH-020.3.927.218-6	
CHE-105.933.968	<b>Limited or Corporation</b>	08/09/1965		from: CH-020.3.927.218-6/b	1
				on:	

#### [barcode] Valid data only

In	Ca	Business name	Ref	Legal seat
1		Falcon Private Bank AG	1	Zurich
1		(Falcon Private Bank Ltd.) (Falcon Private Bank SA)		

In	Ca	1	Paid in (CHF)	Shares	In	Ca	Company address
3		120,000,000.00	120,000,000.00	30,000 nominal shares to CHF 40,000.00	1		Pelikanstrasse 37
							8001 Zurich

In	Ca	Purpose	In	Ca	Other addresses
43		The purpose of the company is to operate an internationally-oriented bank, having its			
		main focus on asset management. As part of this objective, the bank's activities extend			
		in particular to the following business sectors: deposit business excluding the issuance			
		of cash bonds; lending business with special consideration to Lombard credits; trading			
		for own accounts and third party accounts in foreign exchange, precious metals,			
		securities, goods and derivatives; asset management and investment advice; providing			
		all general banking financial services and fiduciary transactions; deposits and letters of			
		credit in connection with other customer businesses; underwriting transactions			
		including participation in syndicates; safekeeping of all kinds of effects and valuables;			
		representation of foreign capital investments in Switzerland and their distribution in			
		and from Switzerland in accordance with the provisions of the Swiss Federal Collective			
		Investment Act. The company can also acquire, manage and sell holdings and real			
		estate, set up companies, represent and advise and carry out all business that is			
		suitable for achieving the company's purpose. The company can set up branches and			
		subsidiaries as well as representative offices domestically as well as abroad.			

In	Ca	Remarks	Ref	Date of the facts
1		Notifications to shareholders are made in writing to the address entered in the stock	1	10/10/1990
		ledger.	1	12/22/1994
1		The transferability of the nominal shares is limited in accordance with the art. of assoc.	1	11/26/1998
		Office: Hochbordstrasse 1,8600 Dübendorf.	1	06/17/2005
1		With the ordinary capital increase on November 24, 2009, a claim for	1	04/17/2009
3		CHF 60,000,000.00 will be raised, for which 15,000 nominal shares are issued at	3	11/24/2009
		CHF 4,000.00.	43	02/04/2014
66		Additional address: Postfach [P.O. Box] 1376, 8021 Zurich.		

In	Ca	Qualified facts	Ref	Official publication
1		CHF 2,000,000 paid up in full by appropriately using the reserves that are freely	1	SHAB [Schweizerisches
		available to the General Assembly.		Handelsamtsblatt, Swiss
1		The company has decided to merge with Kaerpf Holding AG Glarus, in Glarus. Based on the balance sheet dated 06/30/1984, the assets and liabilities were transferred to		Commercial Gazette]
		Ueberseebank AG within the meaning of Art. 748 OR [Obligationsrecht, Swiss Code of		
		Obligations]; see journal 15286/1984 for details.		



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Val	Valid data only												
Vis	Ref	Journal	Date	SOGC	SOGC Date	Page / Id	Vis	Ref	Journal	Date	SOGC	SOGC Date	Page
	0	(Trans	fer)		(Transfer)			48	B 22987	07/09/2014	B 133	07/14/2014	161[ill.]
	1	33698	08/31/2009	171	09/04/2009	26 / 5231686		49	33442	10/09/2014	198	10/14/2014	1766[ill.]
	2	44453	11/13/2009	225	11/19/2009	24 / 5351078		50	39955	12/01/2014	235	12/04/2014	185937[ill.]
	3	47792	12/08/2009	242	12/14/2009	20 / 5390434		51	7674	02/26/2015	42	03/03/2015	2018623
	4	384	01/05/2010	6	01/11/2010	29 / 5433950		52	10874	03/24/2015	60	03/27/2015	2067637
	5	8960	03/01/2010	45	03/05/2010	28 / 5526678		53	B 11420	03/27/2015	B 63	04/01/2015	2075665
	6	11355	03/19/2010	59	03/25/2010	23 / 5558724		54	B 11714	03/31/2015	B 65	04/07/2015	2080711
	7	21722	06/14/2010	116	06/18/2010	30 / 5682990		55	17206	05/15/2015	95	05/20/2015	2160219
	8	23468	06/25/2010	125	07/01/2010	37 / 5703192		56	17782	05/20/2015	98	05/26/2015	2167765
	9	31585	09/01/2010	173	09/07/2010	26 / 5801788		57	28674	08/13/2015	158	08/18/2015	2325761
	10	41017	11/23/2010	232	11/29/2010	24 / 5914774		58	31557	09/08/2015	176	09/11/2015	2368305
	11	1132	01/07/2011	9	01/13/2011	23 /5984006		59	32137	09/14/2015	180	09/17/2015	2377601
	12	8184	02/28/2011	44	03/03/2011	6059836		60	34655	10/05/2015	195	10/08/2015	2414949
	13	19620	05/30/2011	107	06/03/2011	6190856		61	41135	11/25/2015	232	11/30/2015	2508617
	14	20989	06/09/2011	114	06/15/2011	6205234		62	N 43731	12/14/2015	N 245	12/17/2015	2545991
	15	29571	08/15/2011	159	08/18/2011	6300246		63	4150	02/01/2016	24	02/04/2016	2639549
	16	30440	08/22/2011	164	08/25/2011	6308614		64	5339	02/09/2016	30	02/12/2016	2663087
	17	45436	12/19/2011	249	12/22/2011	6473092		65	B 14667	04/25/2016	B 82	04/28/2016	2804775
	18	4916	02/06/2012	28	02/09/2012	6542696		66	21219	06/16/2016	118	06/21/2016	2901521
	19	B 5755	02/13/2012	B 33	02/16/2012	6552852		67	21788	06/21/2016	121	06/24/2016	2910479
	20	16587	05/18/2012	99	05/23/2012	6689264		68	29998	08/26/2016	168	08/31/2016	3029073
	21	17429	05/29/2012	105	06/01/2012	6700670		69	31824	09/13/2016	180	09/16/2016	3058171
	22	24132	07/17/2012	140	07/20/2012	6779032		70	43772	12/08/2016	242	12/13/2016	3218115
	23	39281	11/15/2012	226	11/20/2012	6938314		71	7131	02/21/2017	39	02/24/2017	3368311
	24	39724	11/20/2012	229	11/23/2012	6944492		72	12661	04/06/2017	71	04/11/2017	3461359
	25	665	01/10/2013	9	01/15/2013	7015202		73	17438	05/17/2017	98	05/22/2017	3535025
	26	B 1135	01/15/2013	B 12	01/18/2013	7022542		74	18784	05/30/2017	106	06/02/2017	3557861
	27	1997	01/21/2013	16	01/24/2013	7031946		75	23126	06/30/2017	128	07/05/2017	3623229
	28	8680	03/12/2013	52	03/15/2013	7106970		76	28505	08/11/2017	157	08/16/2017	3698963
	29	17409	06/05/2013	109	06/10/2013	7220540		77	31455	09/08/2017	177	09/13/2017	3749179
	30	19138	06/19/2013	119	06/24/2013	933285		78	37671	10/30/2017	213	11/02/2017	3845833
	31	B 19450	06/21/2013	B 121	06/26/2013	939549		79	41113	11/24/2017	232	11/29/2017	3898623
	32	21966		135	07/16/2013	979855		80	B 41659	11/29/2017	B 235	12/04/2017	3906519
	33	28316	09/05/2013	174	09/10/2013	1068601		81	42315	12/04/2017	238	12/07/2017	3915795
	34	28682	09/10/2013	177	09/13/2013	1075065		82	44466	12/18/2017	248	12/21/2017	3947201
	35	31098		192	10/04/2013	1109807		83	116	01/03/2018	4	01/08/2018	3970893
	36		10/04/2013	195	10/09/2013	1117735		84	1671	01/11/2018	10	01/16/2018	3991771
	37	33210		205	10/23/2013	1140951		85	6219	02/12/2018	32	02/15/2018	4057721
	38	39702		242	12/13/2013	1234425		86	14480	04/19/2018	78	04/24/2018	4189723
	39	41484		250	12/27/2013	1259529		87	16180	05/04/2018	89	05/09/2018	4219785
	40	135		5	01/09/2014	1273753		88	16724	05/09/2018	92	05/15/2018	4228141
	41	5152	02/10/2014	30	02/13/2014	1343509		89	19982	06/06/2018	110	06/11/2018	4280535
	42	6780		39	02/26/2014	1367239		90	36698	10/12/2018	201	10/17/2018	1004478100
	43	7104		41	02/28/2014	1371895		91	43410	12/03/2018	237	12/06/2018	1004513534
	44	11490		66	04/04/2014	1435367		92	8186	02/22/2019	40	02/27/2019	1004575660
	45	13502	04/16/2014	77	04/23/2014	1464733		93	16989	04/30/2019	85	05/03/2019	1004622258
	46	19069	06/10/2014	112	06/13/2014	1551845		94	22139	06/07/2019	112	06/13/2019	1004649734
	47	21234	06/26/2014	124	07/01/2014	1583839							



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### Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	3

In	Ca	Personal data	Function	Signature
		Grassi, Dr. Roberto, from Mendrisio, in Lugano	president of the board	joint signature at two
		, ,	of directors	
		Latroche, Cyril, French citizen, in Abu Dhabi (UAE)	VP of the board of	joint signature at two
			directors	
87		Bernegger, Marc Philipp, from Zurich, in Zollikon	member of the board of	joint signature at two
			directors	
		Hum, Matthew, British citizen, in Abu Dhabi (UAE)	member of the board of	joint signature at two
			directors	
		Schärer, Dominik, from Thunstetten, in Zofingen	member of the board of	joint signature at two
00		Kallan Mantin frans Dadan in Ohamuil Lieli	directors	ioint signature at two
90		Keller, Martin, from Baden, in Oberwil-Lieli	pres. of management	joint signature at two
		Clavel, Xavier, from Sion, in Zollikon	member of the	joint signature at two
		Fiddes, Alastair, British citizen, in Freienbach	management member of the	joint signature at two
		riddes, Alastail, British didzen, in Freienbach	management	Joint Signature at two
		Maccio, Matteo, from Rossinière, in Küsnacht (ZH)	member of the	joint signature at two
			management	, , , , , , , , , , , , , , , , , , , ,
		Meyer, Bruno, from Emmen, in Stäfa	member of the	joint signature at two
			management	
		Müller, Andreas, from Unterkulm, in Zurich	member of the direction	joint signature at two
90		Aiello, Bettina, from Kallnach, in Bülach	member of the direction	joint signature at two
-4		At tells for the least to be		limited to main office
51		Ajvazi, Eldin, from Hombrechtikon, in Beringen	member of the direction	joint signature at two limited to main office
		Ashapa, Rebecca, from Benken (ZH), in Zurich	member of the direction	joint signature at two
		(= 1, f)		limited to main office
90		Beraha-Levy, Lara, German citizen, in Zurich	member of the direction	joint signature at two
				limited to main office
		Bertschi, Andreas, from Dürrenäsch, in Zurich	member of the direction	joint signature at two limited to main office
		Blum, Christian, from Thalwil, in Rüschlikon	member of the direction	joint signature at two
		Siding Christian, Ironi marwin in Naschinen	member of the direction	limited to main office
90		Bollhalder, Stefan, from Wädenswil, in Wädenswil	member of the direction	joint signature at two
40				limited to main office
49		Bühlmann, Carole, from Ruswil, in Cham	member of the direction	joint signature at two limited to main office
		Buschor, Patricia, from Altstätten, in Zollikon	member of the direction	joint signature at two
				limited to main office
		Cantieni, Gregor, from Andeer, in Freienbach	member of the direction	joint signature at two
				limited to main office
		D'Alelio, Antonio, called Tony, from Weinfelden, in Zurich	member of the direction	joint signature at two limited to main office
		D'Angelo, Marco, from Männedorf, in Männedorf	member of the direction	joint signature at two
		, ,		limited to main office
87		Di Grezia, Fabio, from Schlieren, in Unterengstringen	member of the direction	joint signature at two
		Eckard Barnard from Winterthus in Zusiah	momber of the direction	limited to main office
		Eckard, Bernard, from Winterthur, in Zurich	member of the direction	joint signature at two limited to main office
		Egger, Daniel Philippe, from Aarwangen, in Thalwil	member of the direction	joint signature at two
				limited to main office
18		Erismann, Frank, from Wettingen, in Stäfa	member of the direction	joint signature at two
				limited to main office



CHE-105.933.968	Falcon Private Bank AG	Zurich	

Valid	data d	only			
In	Мо	Ca	Personal data	Function	Signature
	49		Fässler, Bernhard, from Ingenbohl, in Nottwil	member of the direction	joint signature at two limited to main office
90			Fehr, Patrick, from Wagenhausen, in Gachnang	member of the direction	joint signature at two limited to main office
70			Fehr, Urs, from Biel/Bienne, in Thalwil	member of the direction	joint signature at two limited to main office
1			Frehner, Daniel, from Urnäsch, in Illnau-Effretikon	member of the direction	joint signature at two
1			Furrer, Gertrud, from Schongau, in Jonen	member of the direction	limited to main office joint signature at two
	90		Ganzer, Grit, German citizen, in Winkel	member of the direction	limited to main office joint signature at two
	30		Gigante, Dario, Italian citizen, in Meilen	member of the direction	limited to main office joint signature at two
84			Gilliard, Philippe, from Suscèvaz, in Galgenen	member of the direction	limited to main office joint signature at two
	90		Gywat, Oliver, from Riehen, in Zurich	member of the direction	limited to main office joint signature at two
91			Heinz, Stefan, from Zurich, in Fischbach-Göslikon	member of the direction	limited to main office joint signature at two
	87			member of the direction	limited to main office joint signature at two
	67		Helbling, Michael, from Lucerne, in Freienbach		limited to main office
90			Hilfing, Tomas, from Meilen, in Meilen	member of the direction	joint signature at two limited to main office
	86		Hirzel, Heinrich, from Zurich, in Bassersdorf	member of the direction	joint signature at two limited to main office
87			Hunziker, Jean Jacques, from Kirchleerau, in Thalwil	member of the direction	joint signature at two limited to main office
	90		Jereb, Taja, Slovenian citizen, in Zurich	member of the direction	joint signature at two limited to main office
	71		Luchsinger, Christian, from Herrliberg, in Zurich	member of the direction	joint signature at two limited to main office
	51		Manente, Patrizia, from Dübendorf, in Dübendorf	member of the direction	joint signature at two limited to main office
1			Maritz, Peter, from Zurich, in Küsnacht ZH	member of the direction	joint signature at two limited to main office
	64		Meier, Gerald, from Lengwil, in Montana	member of the direction	joint signature at two
	30		Molzahn, Rüdiger, German citizen, in Boniswil	member of the direction	limited to main office joint signature at two
	66		Montgomery, Magnus, British citizen, in Winkel	member of the direction	limited to main office joint signature at two
	64		Moraru, lurie, Romanian citizen, in Freienbach	member of the direction	limited to main office joint signature at two
	11		Müller, Franziska, from Walchwil, in Obfelden	member of the direction	limited to main office joint signature at two
	51		Nicolay, Igor, from Bergün/Bravuogn, in Zurich	member of the direction	limited to main office joint signature at two
	86		Özcan, Cihan, from Basel, in Basel	member of the direction	limited to main office joint signature at two
1			Räss, Daniel, from Benken ZH and Geroldswil, in Oberlunkhofen		limited to main office joint signature at two
1	7.				limited to main office
	71		Reinstadler, Silvan, from Obersiggenthal, in Niederglatt	member of the direction	joint signature at two limited to main office



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CHE-105.933.968	Falcon Private Bank AG	Zurich	
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Val	alid data only							
In	Мо	Ca	Personal data	Function	Signature			
70			Reist, Andreas, from Oberburg, in Adliswil	member of the direction	joint signature at two limited to main office			
	51		Reuthinger, Thomas, from Neunkirch, in Rümlang	member of the direction	joint signature at two limited to main office			
87			Richards, Katie, from Zurich, in Zurich	member of the direction	joint signature at two			
91			Rijntjes, Evert-Jan, Dutch citizen, in Kilchberg (ZH)	member of the direction	limited to main office joint signature at two			
91			Ritzl, Marc, from Bergdietikon, in Volketswil	member of the direction	limited to main office joint signature at two			
84			Roy, Iryna, from Biel/Bienne, in Männedorf	member of the direction	limited to main office joint signature at two			
					limited to main office			
1			Sato, Masayuki, Japanese citizen, in Meilen	member of the direction	joint signature at two limited to main office			
	90		Schleiffer, Philipp, from Luzern, in Stäfa	member of the direction	joint signature at two limited to main office			
1			Schmid, Kuno, from Nesslau-Krummenau, in Seuzach	member of the direction	joint signature at two limited to main office			
	86		Schmidlin, Boris Dominic, from Dittingen, in Zurich	member of the direction	joint signature at two limited to main office			
11			Schramböck, Patrick, Austrian citizen, in Baar	member of the direction	joint signature at two limited to main office			
	18		Schwarz, Ursula, from Oberrieden and Binningen, in Oberrieden	member of the direction	joint signature at two			
	18		Skyvell, Kristoffer, Swedish citizen, in Küsnacht ZH	member of the direction	limited to main office joint signature at two			
74			Stuppacher, Michael, from Eggersriet, in Zurich	member of the direction	limited to main office joint signature at two			
50			Timpanaro, Gianmarco, Italian citizen, in Gipf-Oberfrick	member of the direction	limited to main office joint signature at two			
					limited to main office joint signature at two			
70			Van Berkel, Jocelyn, Dutch citizen, in Zurich	member of the direction	limited to main office			
	86		Voci, Giuseppe, from St. Gallen, in Zurich	member of the direction	joint signature at two limited to main office			
91			Volland, Thomas, from Kilchberg (ZH), in Kilchberg (ZH)	member of the direction	joint signature at two limited to main office			
	86		Volz, Oliver, from Wangen-Brüttisellen, in Uster	member of the direction	joint signature at two limited to main office			
	54		Vonlanthen, Roger, from Schmitten FR, in Freienbach	member of the direction				
55			Zaitsev, Gueorgi, Danish citizen, from Fällanden	member of the direction	joint signature at two limited to main office			
	64		Zalad, Konstantin, from Stein AG, in Schlieren	member of the direction	joint signature at two			
	11		Zgraggen, Roy, from Gurtnellen, in Zollikon	member of the direction	limited to main office joint signature at two			
	18		Zimmermann, Beat, from Luzern, in Galgenen	member of the direction	limited to main office joint signature at two			
23			Zobl, Matthias, from Rüschlikon, in Rüschlikon	member of the direction	limited to main office joint signature at two			
66			PricewaterhouseCoopers AG (CHE-106.839.438), in Zurich	auditor	limited to main office			
89			Heyward II, George, American citizen, in Zurich		joint signature at two			
	89		Huggenberger, Eric, from Zurich, in Erlenbach (ZH)		limited to main office joint signature at two			
			,		limited to main office			



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CHE-105.933.968	Falcon Private Bank AG	Zurich	
G112 20015001500			

In   Mo   Ca   Personal data   Function   Signature	Valid	√alid data only								
Aouak, Khalii, from Ilinau-Effretikon, in Ilinau-Effretikon  Bartlett, Aline, from Beringen, in Langnau am Albis  Baumann, Marc, from Hedingen, in Fällanden  Beglinger, Diego, from Sennwald, in Dübendorf  Beglinger, Diego, from Sennwald, in Dübendorf  Bertschy, Nadine, from Kloten, in Bülach  Bigler, Melanie, from Oberthal, in Hüntwangen  Borer, Michael, from Stafa, in Adliswil  De Zordi, Barbara, from Churr, in Zurich  Dybing, Helén, Swedish citizen, in Jonen  Ferreira Neves, Catia Raquel, Portuguese citizen, in Zurich  Franzini, Peter, from Küssnacht (SZ), in Neuhausen am Rheinfall  Frei, Jennifer, from Dübendorf, in Volketswil  Hauser, Andreas, from Rifferswil, in Uster  Kienle, Werner, from Wilen TG, in Zollikon  Martino, Luisa, from Schlieren, in Schlieren  Monaco, Laura, from Regensdorf, in Regensdorf  Martino, Luisa, from Schlieren, in Schlieren  Monaco, Laura, from Muser, in Uster  Monaco, Laura, from Moter, in Richterswil  Onya, Polina, Russian citizen, in Richterswil  Osterwalder, Tiziana, from Stettfurt, in Schlieren  Peter, Andrea, from Stettfurt, in Schlieren  Jone, Gerald, From Aurich, in Lindau  Peteronijevic, Valentina, from Triengen, in Steinhausen  Jolinagent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint agent signature at two limited to main office joint	In	Мо	Ca	Personal data	Function	Signature				
Aouak, Khalil, from Illnau-Effretikon, in Illnau-Effretikon bartlett, Aline, from Beringen, in Langnau am Albis  Bartlett, Aline, from Beringen, in Langnau am Albis  Baumann, Marc, from Hedingen, in Fällanden  Beglinger, Diego, from Sennwald, in Dübendorf  Beglinger, Diego, from Sennwald, in Dübendorf  Bertschy, Nadine, from Kloten, in Bülach  Bigler, Melanie, from Oberthal, in Hüntwangen  Borer, Michael, from Stäfa, in Adliswil  De Zordi, Barbara, from Chur, in Zurich  Dybing, Helén, Swedish citizen, in Zurich  Dybing, Helén, Swedish citizen, in Jonen  Ferreira Neves, Catia Raquel, Portuguese citizen, in Zurich  Ferraini, Peter, from Küssnacht (SZ), in Neuhausen am Rheinfall  Frei, Jennifer, from Dübendorf, in Volketswil  Hauser, Andreas, from Rifferswil, in Uster  Kienle, Werner, from Wilen TG, in Zollikon  Kipfer, Yayoi, Japanese citizen, in Meilen  Lonido, Laura, from Regensdorf, in Regensdorf  Martino, Luisa, from Schlieren, in Schlieren  Monaco, Laura, from Meter, in Uster  Monaco, Laura, from Meter, in Illnau-Effretikon  Onya, Polina, Russian citizen, in Richterswil  Osterwalder, Tiziana, from Stettfurt, in Schlieren  Peter, Rolf, from Zurich, in Lindau  Petronjevic, Valentina, from Triengen, in Steinhausen  Pfeifer, Rolf, from Zurich, in Lindau  Picuccio, Giuseppe, Italian national, in Horgen	6			Allenspach, Susanne, from Zurich, in Zurich						
Bartlett, Aline, from Beringen, in Langnau am Albis  Bartlett, Aline, from Beringen, in Fällanden  Baumann, Marc, from Hedingen, in Fällanden  Beglinger, Diego, from Sennwald, in Dübendorf  Beglinger, Diego, from Konten, in Bülach  Bertschy, Nadine, from Kloten, in Bülach  Bigler, Melanie, from Oberthal, in Hüntwangen  Borer, Michael, from Stäfa, in Adliswil  De Zordi, Barbara, from Chur, in Zurich  De Zordi, Barbara, from Chur, in Zurich  De Zordi, Barbara, from Chur, in Zurich  Dybing, Helén, Swedish citizen, in Zurich  Seggli, Silvio, from Feuerthalen, in Jonen  Ferreira Neves, Catia Raquel, Portuguese citizen, in Zurich  Ferreira Neves, Catia Raquel, Portuguese citizen, in Zurich  Segunt signature at two limited to main office joint agent signature at two limited to main office joint										
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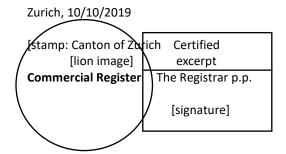
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### Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	7

Valid data only

In	Мо	Ca	Personal data	Function	Signature
42			Pucino, My, from Meilen, in Regensdorf		joint agent signature at two limited to main office
86			Rosenberg, Penelope, from Bern, in Zurich		joint agent signature at two limited to main office
64			Rossini, Luca, from Monte Carasso, in Weiningen ZH		joint agent signature at two limited to main office
86			Stummer, Alex, Austrian citizen, in Zurich		joint agent signature at two limited to main office
91			Würmli, Benjamin, from Rothenthurm, in Urdorf		joint agent signature at two limited to main office
66			Zumoberhaus, Olivier, from Obergoms, in Zurich		joint agent signature at two limited to main office



This extract from the cantonal Registry Office is not valid without the original authentication on the left. The extract contains all valid entries for the Company in question. On special request it is also possible to provide an extract containing all entries. The Commercial Registry Office of the Canton of Zürich does not accept any liability for this extract from the Commercial Register not being accepted as sufficient proof of the facts stated therein at its place of destination.

#### TRANSLATION CERTIFICATION

Date: February 24, 2020

To whom it may concern:

This is to certify that the attached translation is an accurate representation of the documents received by this office. The translation was completed from:

German

To:

English

The documents are designated as:

• Falcon Private Bank AG - Commercial Register of Canton Zurich (10.10.2019) 4837-0871-4933 v.1

Eugene Li, Project Manager in this company, attests to the following:

"To the best of my knowledge, the aforementioned documents are a true, full and accurate translation of the specified documents."

Signature of Eugene Li